

Using Iterative Dynamic Programming to Obtain Accurate Pairwise and Multiple Alignments of Protein Structures

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Abstract

We show how a basic pairwise alignment procedure can be improved to more accurately align conserved structural regions, by using variable, position-dependent gap penalties that depend on secondary structure and by taking the consensus of a number of suboptimal alignments. These improvements, which are novel for structural alignment, are direct analogs of what is possible with normal sequence alignment. They are feasible for us since our basic structural alignment procedure, unlike others, is so similar to normal sequence alignment. We further present preliminary results that show how our procedure can be generalized to produce a multiple alignment of a family of structures. Our approach is based on finding a "median" structure from doing all possible pairwise alignments and then aligning everything to it.

Introduction

Structural alignment involves finding equivalences between sequential positions in two proteins. As such, it is similar to sequence alignment. However, in structural alignment the equivalences are not found by comparing two strings of characters but rather by optimally superimposing two structures and finding the regions of closest overlap in three-dimensions (figure 1). Structural alignment is becoming increasingly important as the number of known protein structures increases exponentially. Currently, there are more than 5000 structures in the Protein Data Bank (exactly, 5208 as of September 1995). Structural alignment is also very important because it is usually thought of as providing a standard or target for sequence alignment. That is, one will be a long way towards achieving accurate sequence alignment if one can align two homologous but highly diverged proteins (say, with low percent identity of ~15 %) on the basis of sequence as well as on the basis of structure.

A number of procedures for automatic structural alignment and comparison have been developed (Taylor & Orengo, 1989; Russell & Barton, 1993; Holm & Sander, 1993; Sali & Blundell, 1990; Godzik & Skolnick, 1994; Artymiuk et al., 1989; Subbiah et al., 1993; Laurents et al., 1994). These procedures for structural alignment have detected many interesting similarities in protein structure — e.g. the globin-colicin similarity (Holm & Sander, 1993b) and have been used to cluster the whole structure databank on the basis of structural similarity (Holm & Sander, 1994).

There are often two goals in structural alignment. One is oriented toward sensitivity, finding remote similarities to a query structure in a large structural database. Another is more oriented towards accuracy, finding as good as possible an alignment between structures which one already knows are similar. To achieve the first goal one wants as fast as possible an alignment algorithm, whereas for the second goal speed is not a primary consideration. It is this second goal that will occupy us here.

The next step after pairwise structural alignment is obviously multiple structural alignment, simultaneously aligning three or more structures together. There are currently a number of approaches for doing this (Taylor et al., 1994; Sali & Blundell, 1990; Russell & Barton, 1993). These methods can proceed by analogy to multiple sequence alignment (Taylor, 1987, 1988, 1990), building up an alignment one structure at a time.

Multiple structural alignment is valuable for a number of reasons. It is an essential first step in the construction of consensus structural templates, which aim to encapsulate the information in a family of structures (Johnson et al., 1993; Altman & Gerstein, 1994; Gerstein & Altman, 1995). It can also form the nucleus for a large multiple sequence alignment of a family (Bashford et al., 1987; Sander & Schneider, 1991; Pascarella & Argos, 1992; Gerstein et al., 1994; Kapp et al., 1995). That is, highly homologous sequences can be aligned to each structure in the multiple alignment.

Here we present two modifications our previously described alignment procedure (Subbiah et al., 1993; Laurents et al., 1994) to make it more accurate and better able to align conserved core regions: variable gap penalties and noisy, suboptimal alignment. These modifications, which are novel to structural alignment, are direct analogs of common techniques in sequence alignment — for instance, for a discussion of variable gap penalties see Lesk et al. (1986), Smith & Smith (1992), and Vingron & Waterman (1994), and for a discussion of suboptimal alignment, see Zuker (1991) and Waterman et al. (1992). They are feasible for our structural alignment procedure because it is so similar to normal sequence alignment, involving repetitive application of Needleman-Wunsch (1971) dynamic programming. In contrast, many of the other commonly used approaches to structural alignment, which involve comparing distance matrices for two structures (Taylor & Orengo, 1989; Holm & Sander, 1993) or looking for similarities in a graph (Artymiuk et al., 1989), would not be modifiable in this way. After describing how our alignment procedure can be made more accurate, we sketch how it can be extended in straightforward fashion to generate multiple structural alignments, based on aligning all structures to a central or median structure. Our results in the area of multiple structural alignment are only preliminary and will be described in detail elsewhere (Gerstein & Levitt, submitted).



Figure 1: Structural Alignment. This figure shows a sample structural alignment of two globins (1mbd and 1ecd, see figure 6). The aligned positions are indicated by small, gray CPK spheres.

Pairwise Structural Alignment

The procedure we use for pairwise structural alignment, described in Subbiah et al. (1993) and Laurents et al. (1994), is based on iterative application of dynamic programming. As such it is a simple generalization of Needleman-Wunsch sequence alignment (Needleman & Wunsch, 1971). As shown in figure 2, one starts with two structures in an arbitrary orientation. Then one computes all pairwise distances between each atom in the first structure and every atom in the second structure. This results in a inter-protein distance matrix where each entry d_{ij} corresponds to the distance between atom i in the first structure and atom j in the second one. This distance matrix can be converted into a similarity matrix s_{ij} , similar to the one used in sequence alignment, by application of the following formula:

$$s_{ij} = \frac{M}{1 + \left(\frac{d_{ij}}{d_0}\right)^2}$$

Here M is the maximum score of a match, which is arbitrarily chosen to be 20. d_0 is the distance at which the similarity falls to about half its maximum value (i.e. $d_{ij}=d_0 \rightarrow s_{ij}=0.45M$). d_0 is taken here to be 5 Å — reflecting the intrinsic length-scale of protein structural similarity. This is a little more than 3 times the length of a C-C bond (1.52 Å) and is larger by a about third than the usual distance between C α atoms (3.8 Å).

One applies dynamic programming to the similarity matrix to generate a “sum matrix” and get equivalences. If this were normal sequence alignment, one would be finished at this point since dynamic programming gives the optimal equivalences. However, this is not the case for structural alignment. So one takes these equivalences and uses them to fit the first structure onto the second one. Then one repeats the procedure, finding all pairwise distances and doing dynamic programming to get equivalences. One repeats this over and over until it converges on the same set of equivalences. In practice, the iteration is tried from a number of different starting points, and the one that gives the best match, measured in terms of RMS deviation after doing a fit, is taken. One gets different starting points (or initial orientations) from doing fits based on different sets of initial equivalences (e.g. random, based on simple sequence matching, etc.).

Improving Alignment Accuracy

We have tried a number of approaches toward improving the accuracy of the simple pairwise structural alignment algorithm presented above.

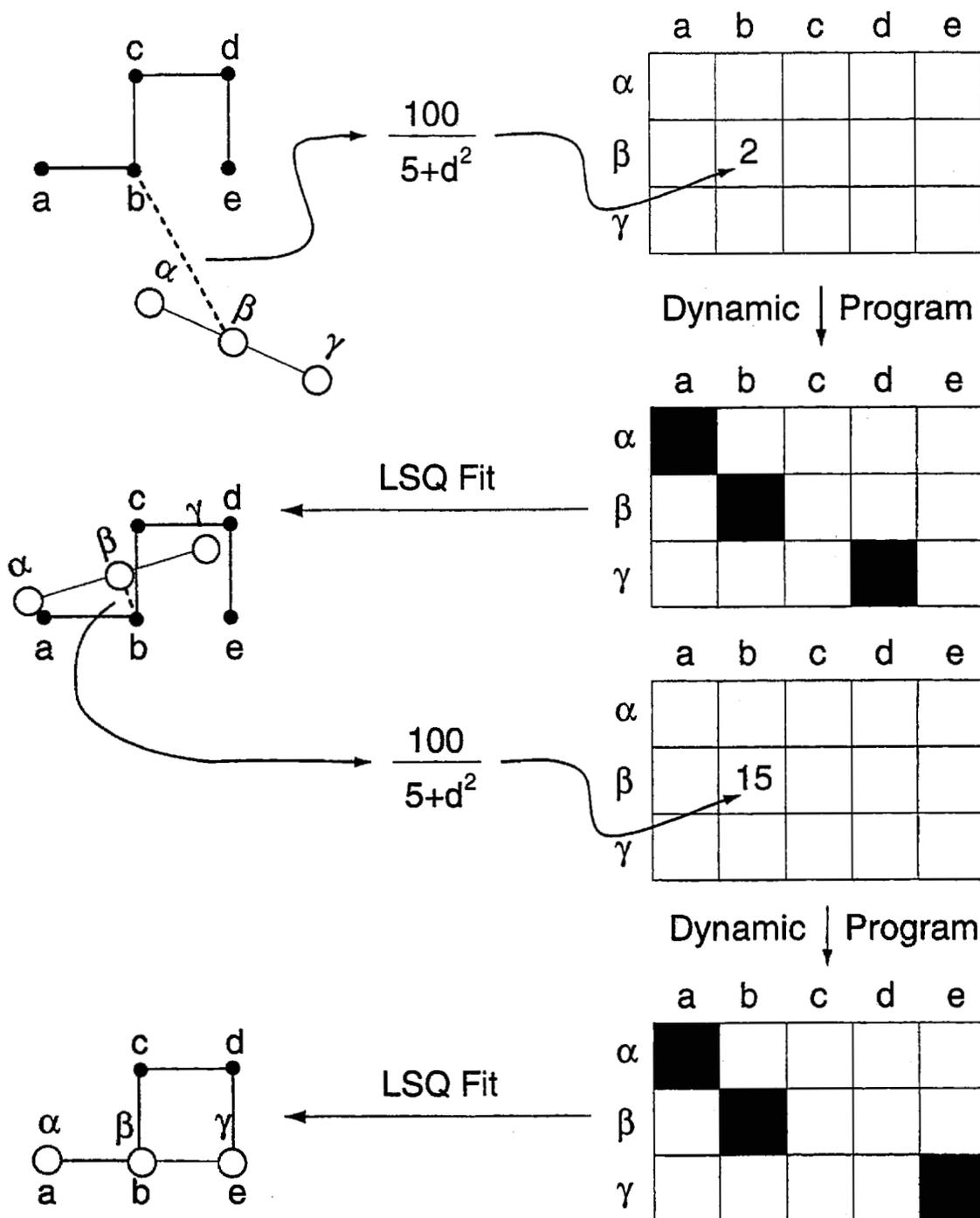


Figure 2: Schematic showing how pairwise structural alignment works. TOP-LEFT shows two structures ($abcde$ and $\alpha\beta\gamma$) in a random initial orientation. All pairwise distances are calculated between atoms in $abcde$ to those in $\alpha\beta\gamma$. These are converted into similarities (see text) and put into a matrix (TOP-RIGHT). Normal dynamic programming is performed on this matrix to find equivalences between atoms in the two structures (TOP-MID-RIGHT). Unlike sequence alignment, these equivalences are not globally optimal. To refine them, they are used to fit $\alpha\beta\gamma$ onto $abcde$ in a least-squares sense. This gives the structures a new relative orientation as shown in MID-LEFT. Then the procedure is repeated: all pairwise inter-molecular distances are calculated between the structures (MID-LEFT), a matrix of similarities is formed (BOT-MID-RIGHT), and dynamic programming is done (BOT-RIGHT). This gives a second set of equivalences. These are used to refit the structures (BOT-LEFT), and everything is repeated iteratively until the procedure converges — i.e. there is no change in the equivalences between iterations.

DHFR alignment

```

CORE      *****  *****  *****  *****
MANU 1dhf LNCIVAVSQNMGIGKNGDLPWPPLRNEFRYFQRMTTTSSVEGKQ-NLVIMGKKTWFSI
MANU 8dfr  LNSIVAVCQNMGIGKDGNLPWPPLRNEYKYFQRMTSTSHVEGKQ-NAVIMGKKTWFSI
MANU 4dfr  ISLIAALAVDRVIGMENAMPWN-LPADLAWFKRNTL-----NKPVIMGRHTWESI
MANU 3dfr  TAFLWAQDRDGLIGKDGHLPWH-LPDDLHYFRAQTV-----GKIMVVGRRTYESF

AUTO 1dhf LNCIVAVSQNMGIGKNGDLPWPPLRNEFRYFQRMTTTSSVEGKQ-NLVIMGKKTWFSI
AUTO 8dfr  LNSIVAVCQNMGIGKDGNLPWPPLRNEYKYFQRMTSTSHVEGKQ-NAVIMGKKTWFSI
AUTO 4dfr  ISLIAALAVDRVIGMENAMPW-NLPADLAWFKRNTLD-----KPVIMGRHTWESI
AUTO 3dfr  TAFLWAQDRNGLIGKDGHLPW-HLPDDLHYFRAQTVG-----KIMVVGRRTYESF

MISMATCH
CORE      *****  ****  *****  *****
MANU 1dhf VPEKNRPLKGRINLVLSRELKEPPQGAHFLSRSLDDALKLTEQPELANKVDMVWIVGGSSVYKEAMNHP
MANU 8dfr  VPEKNRPLKDRINIVLSRELKEAPKGAHYLSKSLDDALALLDSPELKSKVDMVWIVGGTAVYKAAMEKP
MANU 4dfr  ---G-RPLPGRKNIILS-SQPGTDDRV-TWKSVDEAIAACGDVP-----EIMVIGGGRVYEQFLPKA
MANU 3dfr  ---PKRPLPERTNVVLTHQEDYQAQGA-VVVHDVAAVFAYAKQHLDQ---ELVIAGGAQIFTAFKDDV

AUTO 1dhf -PEKNRPLKGRINLVLSRELKEPPQGAHFLSRSLDDALKLTEQPELANKVDMVWIVGGSSVYKEAMNHP
AUTO 8dfr  -PEKNRPLKDRINIVLSRELKEAPKGAHYLSKSLDDALALLDSPELKSKVDMVWIVGGTAVYKAAMEKP
AUTO 4dfr  -G---RPLPGRKNIILSSSQPGTDDRV-TWKSVDEAIAACGDVPE-----IMVIGGGRVYEQFLPKA
AUTO 3dfr  -P--KRPLPERTNVVLTHQEDYQAQGA-VVVHDVAAVFAYAKQHLD---QELVIAGGAQIFTAFKDDV

CORE      *****  *      **      *      *****
MANU 1dhf GHLKLFVTRIMQDFESDTFFPEIDLEKYKLLPEYPGVLSSDVQEEKGIK-----YKFEVYEKND---
MANU 8dfr  INHRLFVTRILHEFESDTFFPEIDYKDFKLLTEYPGVPADIQEEDGIQ-----YKFEVYQKSVLAQ
MANU 4dfr  --QKLYLTHIDAEVEGDTHFPDYEPDDWE---SVFSEF---HDADAQNSHS---YCFEILERR---
MANU 3dfr  --DTLLVTRLAGSFEGDTKMIPLNWDDFT---KVSRT---VEDTNPALT---HTYEVWQKKA---

AUTO 1dhf GHLKLFVTRIMQDFESDTFFPEIDLEKYKLLPEYPGVLSSDVQEEKG--I---KYKFEVYEK-N---
AUTO 8dfr  INHRLFVTRILHEFESDTFFPEIDYKDFKLLTEYPGVPADIQEEDG--I---QYKFEVYQK-SV--
AUTO 4dfr  --QKLYLTHIDAEVEGDTHFPDYEPDDWESVFSE-----FHDADA--QNSHSSYCFEILER-R---
AUTO 3dfr  --DTLLVTRLAGSFEGDTKMIPLNWDDFTTKVSSR-----TVEDTNPAL---THTYEVWQKKA---

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Figure 6: Two Sample Multiple Alignments. This figure (adapted from Gerstein & Levitt, submitted) shows sample multiple alignments for two protein families. The first is for the dihydrofolate reductase (DHFR) family, and the second, for the globin family. For each family, in turn, two separate multiple alignments are shown: the one marked "MANU" is a manually constructed "gold-standard" from Gerstein et al. (1994), and the one marked "AUTO" is automatically generated. The manually and automatically generated alignments have been aligned as blocks so that they have the fewest possible mismatches. Mismatches are scored only in the core alignable regions, marked by a character (e.g. "*") in the "CORE" row. They are flagged in the automatically generated alignment (by double underlining, changing case, and substituting "-" for "."). The DHFR alignment has 1 mismatch in total and has 1dhf as the central structure to which everything is aligned. The globin alignment has 18 mismatches and has 1mbd as the central structure.

Globin alignment

```

CORE
*****
MANU 2hhb-A -----VLSPADKTNVKAAWGKVG-----HAGEYGAEALERMFSLFPTTKTYFPHF
MANU 2hhb-B -----VHLTPPEEKSAVTALWGKV-----NVDEVGGEALGRLLVVYPWTQRFFESF
MANU 21hb    PIVDTGTSVAPLSAAEKTIRSAWAPVYS-----TYETSGVDILVKFFTSTPAAQEFFPKF
MANU 1mbd   -----VLSEGEWQLVLVHWAKVEA-----DVAGHGQDILIRLFKSHPETLEKFDRLF
MANU 2hbg   -----GLSAAQRQVIAATWKDIAG-----ADNGAGVGKDCLIKFLSAHPQMAAVFG-F
MANU 1mba   -----SLSAAEADLAGKSWAPVFA-----NKNANGLDFLVALFEKFPDSANFFADF
MANU 1ecd   -----LSADQISTVQASFDKVKG-----DPVGILYAVFKADPSIMAKFTQF

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AUTO 2hhb-A -----VLSPADKTNVKAAWGKVG-H---AGEYGAEALERMFSLFPTTKTYFPHF
AUTO 2hhb-B -----HLTPPEEKSAVTALWGKV---N---VDEVGGEALGRLLVVYPWTQRFFESF
AUTO 21hb   -----PLSAAEKTIRSAWAPVYSTT---YETSGVDILVKFFTSTPAAQEFFPKF
AUTO 1mbd   -----VLSEGEWQLVLVHWAKVEA-D---VAGHGQDILIRLFKSHPETLEKFDRLF
AUTO 2hbg   -----GLSAAQRQVIAATWKDIAG-A-DNGAGVGKDCLIKFLSAHPQMAAVFG-F
AUTO 1mba   -----SLSAAEADLAGKSWAPVFA-N---KNANGLDFLVALFEKFPDSANFFADF
AUTO 1ecd   -----LSADQISTVQASFDKVKG-----DPVGILYAVFKADPSIMAKFTQF

```

```

MISMATCH
CORE
|||
*****
MANU 2hhb-A --DLS-----HGSAQVKGHGKQVADALTNAVAHV-----D--DMPNALSALSDDLHAHKL-
MANU 2hhb-B -GDLSTP---DAVMGNPKVKAHGKKVLGAFSDGLAHL-----D--NLKGTFFATLSELHCDKL-
MANU 21hb   KGLTTA---DQLKKSADVRWHAERI INAVNDAVASM-----DDT-EKMSMKLRDLGSKHAKSF-
MANU 1mbd   -KHLKTE---AEMKASEDLKKGVTVLTALGAILKK-----K-GHHEAELKPLAQSHATKH-
MANU 2hbg   SGA-----SDPGVAALGAKVLAQIGVAVSHL-----GDE-GKMVAQMKAVGVRHKGYGN
MANU 1mba   KGKSV-----DIKASPCLRDSRIFTRLNEFVNNA-----ANA-GKMSAMLSQFAKEHVGFG-
MANU 1ecd   -AG-KDL---ESIKGTAPFETHANRIVGFFSKIIGEL-----P---NIEADVNTFVASHKPRG-

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AUTO 2hhb-A DLS-----HGSAQVKGHGKQVADALTNAVAHVD---D-----MPNALSALSDDLHAHKL
AUTO 2hhb-B GDL---STPDAVMGNPKVKAHGKKVLGAFSDGLAHLD---N-----LKGTFATLSELHCDKLH
AUTO 21hb   KGL---TTADELKKSADVRWHAERI INAVNDAVASMD---D---TEKMSMKLRDLGSKHAKSFQ
AUTO 1mbd   KHL---KTEAEMKASEDLKKGVTVLTALGAILKKG---H-----HEAELKPLAQSHATKHK
AUTO 2hbg   SGA---SDPG-----VAALGAKVLAQIGVAVSHLGDEGK-----MVAQMKAVGVRH.kgyG
AUTO 1mba   KGK---S-VADIKASPCLRDSRIFTRLNEFVNNA---N---AGKMSAMLSQFAKEHVG.fg
AUTO 1ecd   AGK---DLESIKGTAPFETHANRIVGFFSKIIGELP---N-----IEADVNTFVASHK.prG

```

```

MISMATCH
CORE
*****
MANU 2hhb-A -RVDPVNFKLLSHCLLVTLAAHLP-A--EFTPAVHASLDKFLASVSTVLTISKYR-----
MANU 2hhb-B -HVDPENFRLLGNVLCVLAHHFG-K--EFTPPVQAAYQKVAVAGVANALAHKYH-----
MANU 21hb   -QVDPQYFKVLAAVIADTVAAG-----DAGFEKLMISMICILLRSAY-----
MANU 1mbd   -KIPIKYLEFISEAIIHVLHSRHP-G--DFGADAQGMNKALELFRKDIAAKYKELGYQG
MANU 2hbg   KHIIKAQYFEPLGASLLSAMEHRIGGKM---NAAAKDAAWAAAYADISGALISGLQS-----
MANU 1mba   --VGSAQFENVRSMFPGFVASVAAPP-----AGADAAWTKLFGLIIDALKAAGA-----
MANU 1ecd   --VTHDQLNNFRAGFVSYMKAAHT-----DFAGAEAAWGATLDTFFGMIFSKM-----

```

```

AUTO 2hhb-A ---VDPVNFKLLSHCLLVTLAAHLP AEFTPA VHASLDKFLASVSTVLTISKYR-----
AUTO 2hhb-B ---VDPENFRLLGNVLCVLAHHFGKEFTPP VQAAYQKVAVAGVANALAHKY-----H
AUTO 21hb   ---VDPQYFKVLAAVIADTVAAG-----DAGFEKLMISMICILLRSAY-----Y
AUTO 1mbd   ---IPIKYLEFISEAIIHVLHSRHPGDFGAD AQQGMNKALELFRKDIAAKYKELGYQG
AUTO 2hbg   NKHIIKAQYFEPLGASLLSAMEHRIGGKMNA AKDAWAAAYADISGALISGLQS-----
AUTO 1mba   ---VGSAQFENVRSMFPGFVASVA---PPAG ADAAWTKLFGLIIDALKAAG-----A
AUTO 1ecd   ---VTHDQLNNFRAGFVSYMKAAHTD---FAG AEAAWGATLDTFFGMIFSKM-----

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The same effect can be achieved in a somewhat simpler fashion by adding an element of random noise to both the match score s_{ij} (and the gap opening and extension penalty). Here we take the noise to be between $\pm 7.5\%$ of the maximum match score M .

To highlight the most accurately aligned regions of a structure, we can generate a number of these noisy sub-optimal alignments. Then we can take only the part of the alignment that is the same for each. This is shown for one particular case in figure 4, where the 434 repressor protein is aligned with myoglobin. The most similar helices are clearly conserved in the different suboptimal alignments.

Multiple Structural Alignment

We found it possible to form a multiple structural alignment from evaluating the results of all pairwise alignments (Gerstein & Levitt, submitted). We have tried to do this in a fairly straightforward fashion. After doing all pairwise alignments, we have picked the structure that is on average closest to all other structures. This is in the sense the "median" structure in the "cluster" of all the structures. We then align everything to this.

This presents one obvious problem: If position i in the median structure (i -in-median) aligns with position j in a second structure (j -in-2) and with position k in a third structure (k -in-3), we would align all three positions together. However, this is only really a true multiple alignment if k -in-3 aligns to j -in-2 in a pairwise fashion. Consequently, one possible internal check on the multiple alignment is to see whether at each position it is consistent with each automatically generated pairwise alignment.

Another (better) way check our multiple alignments is to compare them to manually produced multiple structural alignments. This simultaneously checks internal consistency and also whether the individual pairwise alignments are correct. In figure 5 we show sample multiple structural alignments of two protein families, the dihydrofolate reductases and the globins. These are checked against manual alignments (from Gerstein et al., 1994). We compare a manually generated multiple alignments against an automatically generated one by "aligning" them as best we can and then counting the number of mismatches. We only count mismatches in structurally conserved regions as certain regions of the protein structure, particularly some surface loops, are impossible to align correctly. As is evident our multiple alignment procedure is relatively successful in getting the alignment of both proteins correct.

Conclusion

We have described an approach toward generating an accurate multiple structural alignment of a family of protein structures. This approach is an extension of a previously described method for pairwise structural comparison. It incorporates secondary-structure dependent

gap penalties and a core consensus alignment from a number of noisy alignments. We show that an accurate multiple structural alignment is achieved for two protein families, one all- α and another α/β , using the very straightforward approach of taking the median structure and aligning everything to it.

Availability of Results on the Internet

We make available over the Internet supplementary material relevant to this paper (e.g. manual and automatically generated alignments). Go to the following URL:

<http://hyper.stanford.edu/~mbg/Align/>

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